

3772-5-01 Key employee license required.

(A) The following persons shall obtain and hold a key employee license:

(1) The following employees, and any employees in similar or equivalent positions, of a casino operator ~~or management company applicant or licensee~~, whose employment relates directly to a casino facility:

(a) Assistant general manager;

(b) Internal audit director ~~Audit manager~~;

~~(c) Casino manager;~~

~~(cd)~~ Chief Regulatory compliance officer;

~~(de)~~ Chief financial officer or controller, or both;

~~(ef)~~ Information technology director ~~Chief information officer~~;

~~(fg)~~ Chief Security ~~director of security~~;

~~(gh)~~ Slot director ~~Electronic gaming device or slot machines manager~~;

~~(hi)~~ Gaming operations manager;

~~(ij)~~ General manager;

~~(jk)~~ Human resources manager;

~~(l)~~ Information technology manager;

~~(k)~~ Marketing director;

~~(nm)~~ Support operations manager;

~~(n)~~ Surveillance manager ~~director~~; and

~~(me)~~ Table games manager ~~director~~.

(2) Executive or managerial employees who perform the functions of principal executive officer, principal operating officer, principal accounting officer, or an equivalent officer of and who have the power to exercise significant influence over a casino operator, management company, or gaming related gaming-related vendor ~~applicant or licensee~~ or of a holding company that controls a casino operator, ~~or management company, or gaming-related vendor applicant or licensee~~;

(3) Officers, directors, trustees, and partners of and who have the power to exercise significant influence over a casino operator, management company, or ~~gaming-related~~gaming-related vendor ~~applicant or licensee~~ or of a holding company that controls a casino operator, ~~or~~ management company, or gaming-related vendor ~~applicant or licensee~~;

(4) Employees of a casino operator, management company, or ~~gaming-related~~gaming-related vendor ~~applicant or licensee~~ or of a holding company that controls a casino operator, ~~or~~ management company, or gaming-related vendor ~~applicant or licensee~~ that also hold a direct or indirect ownership interest of more than one per cent in and who have the power to exercise significant influence over such casino operator, management company, or ~~gaming-related~~gaming-related vendor ~~applicant or licensee~~ or in a holding company that controls a casino operator, ~~or~~ management company, or gaming-related vendor ~~applicant or licensee~~;

(5) Any other employee of a casino operator, management company, or ~~gaming-related~~gaming-related vendor ~~applicant or licensee~~ or of a holding company that controls a casino operator, ~~or~~ management company, or gaming-related vendor ~~applicant or licensee~~ who the commission determines exercises significant influence over decisions concerning any part of the operations of such casino operator, management company, or ~~gaming-related~~gaming-related vendor ~~applicant or licensee~~ or of a holding company that controls a casino operator, ~~or~~ management company, or gaming-related vendor ~~applicant or licensee~~.

(B) A person employed as a key employee of a casino operator or management company ~~applicant or licensee~~ described in paragraph (A)(1) of this rule may not be employed concurrently by a ~~gaming-related~~gaming-related vendor ~~applicant or licensee~~, except that a person holding a key employee license may be employed by a licensed management company that is also licensed as a ~~gaming-related~~gaming-related vendor.