

3772-4-09 Duty to update information.

(A) All casino operators, management companies, and holding companies ~~licensees and applicants~~ shall submit to the commission, in writing, the following information:

- (1) ~~Name~~ Changes to the name of the licensee or applicant or its holding companies;
- (2) Changes to the state of incorporation or principal place of business ~~of the licensee or applicant or its holding companies~~;
- (3) Changes regarding any individual required to be found suitable under rule [3772-4-08](#) of the Administrative Code;
- (4) Changes regarding shareholders who hold greater than five per cent ownership interest ~~in the licensee or applicant or its holding companies~~;
- (5) Any bankruptcy filed ~~by the licensee or applicant or its holding companies~~;
- (6) Any arrest, ~~of or~~ charge, plea, or conviction filed against ~~the~~ relating to a casino operator, management company, holding company, licensee or applicant, holding companies or any of the individuals required to be found suitable under rule [3772-4-08](#) of the Administrative Code for any crime or offense occurring in any jurisdiction, excluding minor traffic offenses;
- (7) Any inquiry into, investigation of, or action filed against ~~the licensee or applicant a~~ casino operator, management company, holding company, or any of the individuals required to be found suitable under rule [3772-4-08](#) of the Administrative Code by any gaming regulatory agency or authority, or other governmental agency or authority, except for routine renewal application reviews;
- (8) Any rejection, suspension, revocation or denial of any gaming-related application or license, and any fine, penalty, or settled amount relating to any gaming-related license imposed upon or agreed to by ~~the licensee or applicant a~~ casino operator, management company, holding company, or any individual required to be found suitable under rule [3772-4-08](#) of the Administrative Code in any jurisdiction; and
- (9) Any other information that would affect ~~the licensee's or applicant's a~~ casino operator's, management company's, or holding company's suitability to obtain and maintain a license under Chapter 3772. of the Revised Code or the rules adopted thereunder.

(B) Each casino operator, management company, and holding company ~~licensee shall~~ shall notify the commission of any key employee or casino gaming employee licensee whose employment has been terminated or suspended for any reason, by the casino operator, management company, or holding company ~~licensee~~.

(C) All information required to be submitted under this rule must include the name and license number, if applicable, of the ~~licensee or applicant~~ casino operator, management company, or

| holding company and be submitted within ten calendar days of the change or occurrence of the event.