

OHIO CASINO CONTROL COMMISSION
MEETING MINUTES
10:00 A.M. – January 15, 2014
RHODES TOWER, LOBBY HEARING ROOM
30 W. BROAD STREET
COLUMBUS, OHIO 43215

The regular meeting of the Ohio Casino Control Commission was called to order at 10:13 a.m. by Chair Jo Ann Davidson. Commissioner McKinley Brown, Martin Hoke, Will Lucas, Ranjan Manoranjan, John Steinhauer, and June Taylor were also in attendance. The minutes of the December 11, 2013 meeting were approved following a motion by Commissioner Taylor, seconded by Commissioner Manoranjan.

Executive Director Schuler began his report to the Commission discussing the recent closures of both Hollywood Casino Toledo (“Hollywood”) and Horseshoe Casino Cleveland (“Horseshoe”) due to severe weather in Lucas and Cuyahoga counties. Mr. Schuler noted the casino closures were another first for the State of Ohio and commended both the onsite gaming agents and compliance auditors for their work to ensure regulations were upheld. Rick Galloway, the Regulatory Compliance Officer for Hollywood, and Marcus Glover, the General Manager of Horseshoe, shared their experiences in conducting the closures of the casinos during the severe weather conditions. Mr. Galloway stated Hollywood closed the afternoon of Sunday, January 5, 2014 and remained closed for approximately 30 hours. Mr. Glover indicated both the Horseshoe casino and its pedestrian bridge were shut-down the morning of Monday, January 6, 2014 and remained closed for approximately 36 hours thereafter. Mr. Galloway and Mr. Glover expressed the casino closure requests had safety at heart for both their employees and patrons, and felt their casino emergency weather plans were implemented successfully due to effective communication with staff. Commissioner Brown inquired whether Mr. Glover felt Horseshoe’s emergency plan worked, and whether a formal report existed regarding procedures taken implementing the emergency plan. Mr. Glover indicated he felt Horseshoe’s emergency plan had worked successfully and that there was no formal report following the event. Chair Davidson thanked Hollywood and Horseshoe for their professionalism in their management of the closures.

Executive Director Schuler continued his report referencing licensing statistics and the Voluntary Exclusion Program (“VEP”) statistics, noting that participants in the VEP program were up over 700 individuals. Commissioner Hoke asked Mr. Schuler if a line could be added to the licensing statistic reports to disclose currently licensed individuals employed at each of the casino locations. Mr. Schuler indicated this should be possible. He noted for the Commission members that the Compliance division had completed 400 audits in the year 2013, most recently focusing on credit and complimentaries’ procedures.

Noah Browning, Fiscal Officer, provided a report on Commission revenue and expenditures. Due to unexpected licensing fees, revenues continue to exceed estimates, despite the decrease in tax revenue. With regard to expenditures, Mr. Browning reported expenses continue to be under estimates, primarily due to the shift from BCI staff to OCCC staff, the use of in-house staff for investigations, the completion of significant work on the licensing database, and the OCCC’s continuing scrutiny of expenses.

Finally, Mr. Schuler concluded his report referencing underage incident statistics that had been distributed to Commission members. Mr. Schuler noted that since the implementation of the amended

ORC 3772.24 requiring persons under the age of 21 to be escorted by licensed casino gaming personnel from non-gaming areas to other non-gaming areas at Horseshoe Casino Cincinnati, the percentage of incidents had significantly dropped. Chair Davidson commended the OCCC's efforts in the implementation of the revised law and Commissioner Hoke noted the quick and effective results.

Michelle Siba, OCCC Assistant General Counsel, and Craig Donahue, Manager of Audits, reviewed for the Commission proposed new administrative rules and amendments for initial approval. Commissioner Steinhauer proposed an amendment to OAC 3772-19-06(A) to clarify the reporting role of the director of surveillance. Commissioner Steinhauer made a motion to adopt OAC 3772-19-06 as amended. The motion was seconded by Commissioner Lucas. A motion to move to adopt the initial rules and amendments as adopted was made by Commissioner Hoke. The motion was seconded by Commissioner Taylor and adopted.

Aleah Page, Manager of Vendor and Operator Licensing, presented to the Commission for consideration, the gaming related vendor license application of Higbee Mothership LLC ("Higbee"). Based on the background report, OCCC staff recommended the Commission adopt Resolution 2014-01 to grant a Gaming Related Vendor License to Higbee. Commissioner Steinhauer made a motion to approve Commission Resolution 2014-01, granting a three-year Gaming-Related Vendor license to Higbee. The motion was seconded by Commissioner Manoranjan. The Resolution was adopted.

Tony Forchione, OCCC Director of Licensing and Investigations, presented to the Commission for consideration, the applications from four individuals for Key Employee licenses. OCCC Division of Licensing and Investigations had reviewed the applications and recommended their approval. Commissioner Taylor made a motion to approve Resolution 2014-02, granting three-year Key Employee Licenses to Alistair Cameron, Hollywood Casino Columbus; Heidi Farulla, Hollywood Casino Toledo; Frederick Kleisner, Caesars Entertainment Corp.; and Meaghan Ryan, Horseshoe Casino Cincinnati. The motion was seconded by Commissioner Brown. The Resolution was adopted.

Patrick Martin, Director of Regulatory Compliance, presented waivers and amendments to internal control plans being requested by Hollywood Casino Columbus, Hollywood Casino Toledo, and Horseshoe Casino Cincinnati. Additionally, Hollywood Casino Toledo requested two waivers related to the paperwork involved in backup measures for manual table game fills and credits. All of the proposals had been reviewed by OCCC staff and were recommended for adoption.

- Hollywood Casino Columbus requested changes to its Accounting, Responsible Gaming, Security, Slot Operations, Surveillance, and Table Games plans. Commissioner Taylor made a motion to approve the changes. The motion was seconded by Commissioner Brown and approved.
- Hollywood Casino Toledo requested two waivers related to the paperwork involved in backup measures for manual table game fills and credits. Commissioner Taylor made a motion to approve the waivers. The motion was seconded by Commissioner Lucas.
- Hollywood Casino Toledo requested changes to its General, Accounting Operations, Player Services/Cage Operations, Information Technology, Key Controls, Responsible Gaming, Security, and Table Game plans. Commissioner Manoranjan made a motion to approve the changes. The motion was seconded by Commissioner Taylor and approved.
- Horseshoe Casino Cincinnati requested changes to its Accounting and Promotions plans. Commissioner Hoke made a motion to approve the changes. The motion was seconded by Commissioner Lucas and approved.

John Barron, OCCC Deputy Executive Director and General Counsel, reviewed final orders presented to the Commission for consideration.

In re: Malachi Freeman, (Case #2013-066). Mr. Freeman did not request a hearing. Chair Davidson made a motion to place Malachi Freeman on the Commission Involuntary Exclusion list. The motion was seconded by Commissioner Lucas and approved.

In re: Dawn Jenkinson, (Case #2013-071). Ms. Jenkinson did not request a hearing. Chair Davidson made a motion to place Dawn Jenkinson on the Commission Involuntary Exclusion list. The motion was seconded by Commissioner Hoke and approved.

In re: Bradley Spence, (Case #2013-053). Mr. Spence did not request a hearing. Chair Davidson made a motion to revoke the Casino Gaming Employee License for Bradley Spence and place him on the Commission Involuntary Exclusion list. The motion was seconded by Commissioner Lucas and approved.

There being no further business before the Commission, the meeting was adjourned at 11:13 a.m.

Signed,

Katherine L. Kelly

Commission Clerk

Approved: February 12, 2014