

OHIO CASINO CONTROL COMMISSION
MEETING MINUTES
10:00 A.M. – October 21, 2015
RHODES TOWER, LOBBY HEARING ROOM
30 W. BROAD STREET
COLUMBUS, OHIO 43215

The regular meeting of the Ohio Casino Control Commission (OCCC) was called to order at 10:06 a.m. by Chair Jo Ann Davidson. Commissioners McKinley Brown, Will Lucas, Ranjan Manoranjan, John Steinhauer, and June Taylor were also in attendance. Commissioner Hoke had an excused absence. The minutes of the September 16, 2015 meeting were approved following a motion by Commissioner Manoranjan, seconded by Commissioner Brown.

Executive Director Schuler announced there would be a room change for next month's Commission meeting. Mr. Schuler began his report with a review of the continued importance of providing resources for Ohioans with a gambling addiction, in accordance with the Ohio Revised Code. Mr. Schuler advised the Commissioners that the OCCC is partnered with the Ohio Department of Mental Health and Addiction Services (OhioMHAS) to provide prevention, treatment, and recovery support services for gambling disorders. Mr. Schuler introduced Tracy Plouck, Director of OhioMHAS to provide a review of the state fiscal year (SFY) 2015 plan and accomplishments for problem gambling services, and outline the anticipated SFY 2016 plan.

Director Plouck explained the SFY 2015 plan's primary goals were to grow community awareness and resources, and develop a well-trained workforce for treatment and recovery for problem gambling. A variety of training and programs were hosted for treatment professionals, including a new Gambling Endorsement that may be earned by Chemical Dependency Counselors. The annual Problem Gambling Awareness Month state conference was a success, gathering 200 participants from all over Ohio and featuring state and national experts. Director Plouck reported problem gambling screenings were on the rise, and individuals receiving treatment was staying steady. A new problem-gambling awareness-building campaign was launched in August 2015 called the "Be the 95%." The campaign is primarily directed at influencers of potential problem gamblers and the community, to build an understanding of what problem gambling is and how to gamble responsibly.

For SFY 2016, OhioMHAS and Ohio for Responsible Gambling will field a second statewide gambling survey to track the impact of expanded gambling options. Director Plouck reported that long-range goals for SFY 2016 included continuing to embed "Be the 95%" messaging into local communities and educating treatment professionals, develop active partnerships with criminal justice agencies regarding problem gambling screening and treatment models, make videoconference counseling available, and to develop a relationship with a statewide credit counseling organization for specialized help for clients. To achieve these goals, the SFY 2016 plan entailed \$6.3 million in funding, with 60% of the budget being allocated to prevention, and 40% to treatment, with any remaining balance from the SFY 2015 plan to be carried over.

Mr. Schuler ended his report with Noah Browning, OCCC Fiscal Officer and Acting Director of Operations, presenting the quarterly review of agency revenues and expenditures. Mr. Browning reported that the Commission was ahead of cash flow despite license fees coming in a little below estimates, and remained on strong financial footing.

Aleah Page, Manager of Vendor & Operator Licensing, then presented to the Commission for consideration, the renewal application of John Huxley America, Inc. (TCS America) and TCS John Huxley Europe, Ltd. (TCS Europe). TCS America and TCS Europe were granted an initial Gaming-Related Vendor License by the Commission on May 9, 2012, but were issued new licenses on November 14, 2012, due to a change in ownership. On August 17, 2015, TCS America and TCS Europe each filed applications with the Commission requesting renewal of their gaming-related vendor licenses. Due to their ownership interest Victoria Holdings Ltd. (Victoria Holdings) and Pata-Pata AB (Pata-Pata) also filed holding company applications. The OCCC Division of Licensing and Investigations has conducted probity background investigations of TCS America, TCS Europe, Victoria Holdings, and Pata-Pata, and recommended approval. Ms. Page recommended approval of Commission Resolution 2015-34, granting renewal of a three-year Gaming-Related Vendor License to TCS America and TCS Europe. Commissioner Taylor made a motion to adopt Commission Resolution 2015-34, approving the licenses. The motion was seconded by Commissioner Steinhauer and approved.

Anthony Forchione, Director of Licensing and Investigations, then presented to the Commission for consideration, Key Employee Licenses for three individuals. The OCCC Division of Licensing and Investigations had completed background investigations of the applicants and recommended approval. Mr. Forchione recommended approval of Commission Resolution 2015-35, granting three-year key employee licenses to Pauly Vander Cica, Regional VP of Slot Performance and Operations, Horseshoe Casino Cleveland, Jason Knight, Account Executive, Interblock USA, and Mark Niekranz, Director of Information Technology, Hollywood Casino Toledo. A motion to approve the Resolution was made by Commissioner Manoranjan. The motion was seconded by Commissioner Taylor and approved.

Mr. Forchione then presented to the Commission for consideration, Key Employee renewal applications for three individuals. The OCCC Division of Licensing and Investigations had completed background investigations of the applicants and recommended approval. Mr. Forchione recommended approval of Commission Resolution 2015-36, granting three-year key employee renewal licenses to Rick Galloway, Compliance Manager, Hollywood Casino Toledo, Brandon Green, Director of Sales, Gaming Partners Inc. (GPI), and Paul Quinian, Vice President of Operations, GPI. A motion to approve the Resolution was made by Commissioner Lucas. The motion was seconded by Commissioner Taylor and approved.

Patrick Martin, OCCC Director of Regulatory Compliance, presented to the Commission for their consideration, the approval of Deloitte & Touche LLP (Deloitte) as Rock Ohio Caesars, LLC's (ROC's) independent registered certified public accounting firm for the purposes of preparing the required annual audited financial statement. Commissioner Steinhauer inquired if the Commission would have access to working papers pertaining to ROC's annual audited financial statement. In response to Commissioner Steinhauer's question, Deloitte's representative, Mark Quarm, acknowledged that the Commission has full access to working papers and documents related to the audit engagement. Commissioner Steinhauer made a motion to adopt Commission Resolution 2015-37, approving Deloitte as the accounting firm for ROC. The motion was seconded by Commissioner Lucas and approved.

Mr. Martin presented to the Commission for their consideration, amendments to Internal Controls being requested by all of the casinos. All the proposed changes had been reviewed and recommended by the OCCC staff.

- Hollywood Casino Columbus (HWC) sought mostly organizational changes to its General, Accounting, Marketing, Slot Operations, and Table Games plans. Of particular significance, HWC

requested the addition of manual processes should its slot and management system experience an emergency breakdown. The “Craps Free Craps” variant already used at its sister property was also requested. Chair Davidson made a motion to approve the proposed changes. The motion was seconded by Commissioner Brown and approved.

- Hollywood Casino Toledo (HWT) sought changes to its Accounting, AML, Player Services, Information Technology, Emergency Action, Slot Operation, Tables, and Tips and Gratuities plans. A number of the changes were in response to the revised Administrative Code provisions that became effective on September 26, 2015. The remaining changes were in response to audit findings. Commissioner Lucas made a motion to approve the proposed changes. The motion was seconded by Commissioner Taylor and approved.
- Horseshoe Casino Cincinnati (UCI) requested changes to its Accounting, Cage, Credit, Slot Operations, Table Games, and Surveillance Plans. UCI also made a number of changes in response to the revised Administrative Code. The bulk of the changes were driven by revisions in the Cage and Slots plans, where were in response to audits and the casino’s own review. Commissioner Steinhauer made a motion to approve the proposed changes. The motion was seconded by Commissioner Lucas and approved.
- Horseshoe Casino Cleveland (UCL) sought changes to its Cage, Credit, IT, Slot Operations, and Table Games plans, which were also due to audit findings and Administrative Code changes. Commissioner Taylor made a motion to approve the proposed changes. The motion was seconded by Commissioner Brown and approved.

John Barron, Deputy Executive Director and & General Counsel, reported Ethics Training is currently underway for the Commissioners and all employees, and training sessions are being provided at each of the Commission locations. There being no further business before the Commission, the meeting was adjourned.

Signed,



Lara B. Thomas
Commission Secretary

Approved: November 18, 2015