

OHIO CASINO CONTROL COMMISSION  
MEETING MINUTES  
10:00 A.M. – January 20, 2016  
RHODES TOWER, LOBBY HEARING ROOM  
30 W. BROAD STREET  
COLUMBUS, OHIO 43215

The regular meeting of the Ohio Casino Control Commission (OCCC) was called to order at 10:23 a.m. by Chair Jo Ann Davidson. Commissioners McKinley Brown, Martin Hoke, Will Lucas, Ranjan Manoranjan, and John Steinhauer were also in attendance. Commissioner Taylor had an excused absence. The minutes of the December 16, 2015 meeting were approved following a motion by Commissioner Steinhauer, seconded by Commissioner Brown.

Executive Director Schuler began his report with the results of the 2015 annual license application report. Mr. Schuler reported a total of 2,662 casino gaming employees were licensed in 2015, with only 7 individuals being denied a license, and 21 individuals found to be statutorily prohibited.

Mr. Schuler reported that Commission staff continues to have regular weekly conversations with Rock Gaming (Rock) regarding the details of the transition of the Cleveland and Cincinnati properties. Draft internal controls were noted to have been submitted from Rock to the Commission's Compliance Division. Mr. Schuler noted that requests to approve these internal controls would likely come before the Commissioners starting in February. A rebranding of the names and logos for the Thistledown, Cleveland, and Cincinnati properties is expected to be announced soon, so properties can begin ordering cards, dice, table felts, and other essential items that require Commission approval prior to manufacture and distribution.

Mr. Schuler ended his report with a quarterly budget update for FY 2016. Mr. Schuler indicated that operator fees were up as a result of the renewal management company application submitted by Rock Ohio Caesars Cincinnati LLC (ROC Cincinnati). Additionally, vendor and key employee fees were up as a result of structural changes in vendors, and turnover in operator and vendor key employees. Mr. Schuler reported the Commission continues to be below estimated spending. Mr. Schuler indicated travel costs were significantly down, due to condensing the amount of trips taken to each of the casinos for licensing application events. Mr. Schuler reported that the Commission continues to approach the budget conservatively.

Matthew Oyster, Director of Licensing and Investigations, then presented to the Commission for consideration, ROC Cincinnati renewal application for licensure as a casino operator through the adoption of Commission Resolution 2016-01. Mr. Oyster reviewed the OCCC Division of Licensing and Investigation's "Investigative Report on the Application of Rock Ohio Caesars Cincinnati LLC, for a Casino-Operator License" which concluded that ROC Cincinnati is suitable for licensure as a casino operator, subject to numerous conditions. Commissioner Hoke made a motion to adopt Commission Resolution 2016-01, granting renewal of a three-year Casino-Operator License to ROC Cincinnati. The motion was seconded by Commissioner Manoranjan and adopted by a roll call vote 6-0.

Mr. Oyster then presented for consideration of extension approval of Rock Ohio Caesars, LLC's (ROC) refinancing proposal, through the adoption of Commission Resolution 2016-05. Mr. Oyster indicated due to market changes, ROC requested the approval to extend the refinancing proposal approval in

Resolution 2015-42 until March 31, 2016, subject to the same conditions. The Division of Licensing and Investigations found ROC's request to be commercially reasonable and recommended approval of Resolution 2016-05 to extend the refinancing proposal approval with conditions. A motion to approve the extension was made by Commissioner Hoke. The motion was seconded by Commissioner Manoranjan and approved.

Mr. Oyster then presented to the Commission for consideration, a Key Employee renewal application for one individual. The OCCC Division of Licensing and Investigations had completed a background investigation of the applicant and recommended approval. Mr. Oyster recommended approval of Commission Resolution 2016-02, granting a three-year key employee license to Robert Wamsley, Horseshoe Casino Cincinnati. A motion to approve the Resolution was made by Commissioner Brown. The motion was seconded by Commissioner Lucas and approved.

Mr. Oyster then presented to the Commission for consideration, Rock Gaming, LLC Management Company and Horseshoe Casino Cleveland Key Employee Licenses for six individuals. The OCCC Division of Licensing and Investigations had completed background investigations of the applicants and recommended approval. Commissioner Hoke had questions related to a key employee's license application that were confidential in nature.

Accordingly, Chair Davidson made a motion to go into executive session pursuant to R.C. 121.22(G)(5), stating the following: "Discussion of information related to a key employee's license application is required to be kept confidential by state statute. In particular, this discussion requires disclosure of information provided in a Multijurisdictional Personal History Disclosure Form, including the Ohio Supplement, Exhibits, Attachments, and Updates, which is deemed confidential under R.C. 3772.16(A)(14). Accordingly, I move to go into executive session pursuant to R.C. 121.22(G)(5)." The motion was seconded by Commissioner Hoke and adopted by a roll call vote 6-0.

The Commission moved into Executive Session at 11:05 a.m.

The regular meeting of the Commission resumed at 11:58 a.m., and reverted back to the consideration of Key Employee Licenses for six individuals. Mr. Oyster recommended approval of Commission Resolution 2016-03, granting three-year key employee licenses to Mark Miller, Rock Gaming LLC Management Company, Ryan Sullivan, Rock Gaming LLC Management Company, Jeffrey Cutri, Horseshoe Casino Cleveland, Mahlet Ketema, Horseshoe Casino Cleveland, Lisa Powers, Horseshoe Casino Cleveland, and Gabriel Santacreu, Horseshoe Casino Cleveland. A motion to approve the Resolution was made by Commissioner Lucas. The motion was seconded by Commissioner Brown and approved.

Mr. Oyster then presented to the Commissioner for consideration, the provisional key employee application for Kim Sumimoto. Ms. Sumimoto was hired by Horseshoe Casino Cleveland (UCL) to fill the required position of Assistant General Manager. The OCCC Division of Licensing and Investigations had completed a background investigation of Ms. Sumimoto and recommended approval. Mr. Oyster recommended approval of Commission Resolution 2016-04, granting a provisional key employee license to Ms. Sumimoto. A motion to approve the Resolution was made by Commissioner Brown. The motion was seconded by Commissioner Lucas and approved.

Patrick Martin, OCCC Director of Regulatory Compliance, presented to the Commission for their consideration, amendments to Internal Controls being requested by all of the casinos. All the proposed changes had been reviewed and recommended by the OCCC staff.

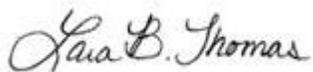
- Hollywood Casino Columbus (HCO) sought amendments to its Responsible Gaming, Slot Operations, Table Games Plan, and game paly rules, in response to audit findings. Notably, HCO has added DJ Wild Stud game that the other casinos have recently added. Chair Davidson made a motion to approve the proposed changes. The motion was seconded by Commissioner Brown and approved.
- Hollywood Casino Toledo (HCT) sought minor changes to its Accounting Plan. Importantly, HCT's construction of a new poker room bank also resulted in control changes to its Player Services and Table Games Plans. The new bank will replace the poker podium that existed since opening. Chair Davidson made a motion to approve the proposed changes. The motion was seconded by Commissioner Lucas and approved.
- Horseshoe Casino Cincinnati (UCI) sound only one change to its Tips and Gratuities Plan, namely adding controls around dealer tips received during instructional games. The change was in response to audit findings. Chair Davidson made a motion to approve the proposed changes. The motion was seconded by Commissioner Manoranjan and approved.
- UCL sought and received an immediate change to add an assistant general manager position. That addition had a ripple effect on the Introduction, Credit, Table Games, and Security Plans. UCL also sought routine amendments to its Slots and Promotion Plans. Chair Davidson made a motion to approve the proposed changes, including immediate changes. The motion was seconded by Commissioner Hoke and approved.

John Barron, Deputy Executive Director and & General Counsel, then presented one final order to the Commission for consideration:

In re: Sheronne Johnson (Case #2015-LIC-018). Chair Davidson made a motion to deny the CGE License Application for Sheronne Johnson. The motion was seconded by Commissioner Brown and approved.

There being no further business before the Commission, the meeting was adjourned.

Signed,



Lara B. Thomas  
Commission Secretary

Approved: February 17, 2016