

OHIO CASINO CONTROL COMMISSION
MEETING MINUTES
10:00 A.M. – April 20, 2016
RHODES TOWER, LOBBY HEARING ROOM
30 W. BROAD STREET
COLUMBUS, OHIO 43215

The regular meeting of the Ohio Casino Control Commission (OCCC) was called to order at 10:07 a.m. by Chair Davidson. Commissioners McKinley Brown, Will Lucas, Ranjan Manoranjan, John Steinhauer, June Taylor were also in attendance. Commissioner Hoke had an excused absence. The minutes of the March 16, 2016 meeting were approved following a motion by Commissioner Lucas, seconded by Commissioner Brown.

Executive Director Schuler began his report with a budget update for FY 2016. Casino tax revenue was reported to be 8.5% above estimates for the quarter and 2.5% over estimates for the first three quarters. Key Employee and Gaming Employee revenue were above estimates, due to both vendor turnover and the JACK Entertainment transition. Costs have been reviewed, and areas where saving can take place were noted to have been identified. Overall, the revenue budget for the quarter was 12.6% above estimates, and 8% over estimates for the first three quarters.

Mr. Schuler reminded the Commissioners that as part of the JACK Entertainment transition, the Cleveland casino will close at midnight, May 9, 2016, and will re-open the afternoon of May 11, 2016, pending regulatory approval, as JACK Cleveland Casino. The closure is needed to install JACK's casino management and slot data systems, and to perform necessary changes to slot machines and table games. The OCCC Compliance Division will ensure the new systems perform all proper functions and meet required standards. The Licensing Division was noted to have been undertaking a large wave of key employee applicants in anticipation of the transition. Mr. Schuler thanked all the divisions for their part in the JACK transition.

Mr. Schuler ended his report, introducing Elise Koeppel from the OCCC's Enforcement Division, and welcoming Derrick Bush back to the Compliance Division.

Mr. Matt Oyster, Director of Licensing and Investigations, then presented the Gaming-Related Vendor License application for The United States Playing Card Company (USPC), and related Provisional Key Employee applications for four individuals. USPC entered into a merger agreement with Newell Rubbermaid, Inc. (Newell), to combine the two companies into Newell Rubbermaid, which will be renamed "Newell Brands," post-closing. Due to the change in majority ownership, USPC is required to apply for and obtain a new Gaming-Related Vendor License in order to continue to conduct business with Ohio's four casinos. The Commission previously found USPC suitable for licensure twice. On March 9, 2016, USPC filed an application for a new license. Provisional Key Employee applications were also submitted for four key employees. The Division of Licensing and Investigations conducted suitability background investigations of USPC and its key employees and recommended approval. Mr. Oyster recommended approval of Commission Resolution 2016-11, granting renewal of a three-year Gaming-Related Vendor License to USPC and provisional key employee licenses to four key employees listed in the Resolution. Commissioner Steinhauer made a motion to adopt Commission Resolution 2016-11, approving the license. The motion was seconded by Commissioner Manoranjan and approved.

Mr. Oyster then presented Key Employee applications for six individuals. The OCCC Division of Licensing and Investigations had completed a background investigations of the applicants and recommended approval. Mr. Oyster recommended approval of Commission Resolution 2016-12, granting a three-year key employee license to Dana Fortney, Hollywood Casino Columbus, Benjamin Humann, Hollywood Casino Toledo, Stephanie Sheikh, Horseshoe Casino Cincinnati, Endea Smith, Hollywood Casino Columbus, Kim Sumimoto, Horseshoe Casino Cleveland, and Christopher Volle, Horseshoe Casino Cincinnati. A motion to approve the Resolution was made by Commissioner Steinhauer. The motion was seconded by Commissioner Taylor and approved.

William Cox, OCCC Assistant General Counsel, reviewed for the Commission proposed amendments to existing rules, pursuant to the required R.C. 119.04 statutory five-year review. A motion to move to adopt the initial rules and amendments was made by Commissioner Lucas. The motion was seconded by Commissioner Taylor and adopted.

Michelle Siba, OCCC Deputy General Counsel, presented to the Commission for their consideration, the approval of the proposed casino gaming area designation for JACK Cleveland Casino (JCL). Commissioner Taylor made a motion to approve the casino gaming area designations for JCL. The motion was seconded by Commissioner Lucas and approved.

Patrick Martin, OCCC Director of Regulatory Compliance, presented the remaining initial internal controls for approval, prior to JCL's grand opening. Proposed Intro, Accounting, Anti-Money Laundering, Cage, Credit, Responsible Gambling Plan, Table Games, Table Game Rules, Sensitive Keys, Promotions, Poker, Security and Emergency Response, Surveillance, and Record Retention plans had been reviewed and recommended by the OCCC staff. It was also noted that the JACK Cincinnati property internal plans were anticipated to come before the Commissioners in May, and would be similar to JCL's internal controls. Commissioner Lucas made a motion to approve the proposed internal controls. The motion was seconded by Commissioner Taylor and approved.

Mr. Martin then presented to the Commission for their consideration, amendments to Internal Controls being requested by the Hollywood Toledo Casino (HWT). All the proposed changes had been reviewed and recommended by the OCCC staff.

- HWT sought changes to nine different plans, although many of the changes were the same director designation amendments made to its sister property, Hollywood Columbus Casino (HWC), the previous month. The remaining changes to plans involved responses to audits, clarifications, and changes made as a result of the previous year's Ohio Administrative Code revisions. Importantly, HWT is adding controls on the issuance of Complimentaries in its Player Services plan, and controls on promotional items in its Marketing plan. HWT make some personnel changes, including the addition of the surveillance supervisor to its Surveillance Plan. Lastly, HWT revised its Banking Secrecy plan to mirror HWC's. Commissioner Taylor made a motion to approve the proposed changes. The motion was seconded by Commissioner Lucas and approved.

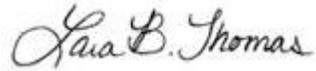
John Barron, OCCC Deputy Executive Director and General Counsel, then presented one final order to the Commission for consideration:

In re: Christopher Harlow (Case #2016-LIC-003), a hearing was originally requested. However, Mr. Harlow withdrew his request for a hearing, and thus a hearing was not held. Chair Davidson made a

motion revoke the CGE License for Christopher Harlow. The motion was seconded by Commissioner Steinhauer and approved.

There being no further business before the Commission, the meeting was adjourned.

Signed,

A handwritten signature in cursive script that reads "Lara B. Thomas".

Lara B. Thomas
Commission Secretary

Approved: May 18, 2016