

3772-10-09 Complimentaries.

(A) In addition to the limits on promotional credits in section 3772.23 of the Revised Code, each casino operator's internal control system shall include ~~internal control~~the following:

~~(1) Procedures for the authorization, issuance, and recording, and auditing of complimentaries, including cash and non-cash gifts. These internal controls shall include the delegation of authority to approve the issuance of complimentaries and the limits that apply to this authority, including limits based on proper separation of duties and limits based on relationships between the authorizer and recipient;~~

~~(B) For the purposes of this rule, "guest" shall mean any person who receives~~(2) Procedures for ensuring that complimentaries as a result of that person's relationship with the patron receiving the primary are not provided to members of the Ohio voluntary exclusion program; and

(3) Procedures for auditing complimentaries.

~~(B)~~ All complimentaries shall be valued and recorded as follows:

~~(1) At full retail price normally charged by the casino operator if the complimentary is provided directly to the patrons paid in the normal course of the casino operator's business, including rooms, food, and beverages;~~

~~(2) At an amount based upon the actual cost to the casino operator of providing the service or item, if the complimentary is not offered for sale to the patrons in the normal course of the casino operator's business;~~

~~(3) At an amount based upon the actual cost to the casino operator of having a third party who is not affiliated with the casino operator provide service or item directly or indirectly to patrons by the third party; or~~

~~(4) If provided directly or indirectly to a patron on behalf of a casino operator by a third party who is affiliated with the casino operator, in accordance with the provisions of this rule as if the affiliated third party were the casino operator.~~

~~(D) The casino operator shall complete the following requirements when a patron and patron's guest are provided with complimentaries that are valued at six hundred dollars or more over a twenty four hour gaming date as established in the casino operator's internal controls:~~

~~(1) Verify the patron's identity;~~

~~(2) Record the name and address of each patron;~~

~~(3) Record the unique identification number from the recipient's government issued photo identification card;~~

~~(4) Record the category of service or item;~~

~~(5) Record the value of the services or items provided to each patron or that patron's guests as calculated in accordance with paragraph (C) of this rule; and~~

~~(6) Record the unique identifier of the employee authorizing the issuance of the services or items.~~

~~(E) Complimentaries that are cash gifts shall include the following:~~

~~(1) Payments made for the purpose of resolving complaints or disputes ;~~

~~(2) Travel payments ;~~

~~(3) Coupons and vouchers issued and redeemed as part of a promotion ; and~~

~~(4) Any other cash gifts issued to patrons.~~

~~(F) All cash complimentaries shall be disbursed directly to the patron by a gaming cashier at the cage after receipt of appropriate documentation.~~

~~(G) The issuance of complimentary cash or non-cash gifts with a total value of ten thousand dollars or more over a twenty four hour gaming date must be authorized by a licensed key employee and cosigned by a second licensed key employee.~~

~~(H) Each casino operator shall submit to the commission a report listing the name of each person who has received total of ten thousand dollars or more in complimentary cash and non-cash gifts within a rolling five-day period during the preceding month end. The report shall be filed by the last business day of the following month and shall include the total amount of complimentary cash or non-cash gifts provided to each person.~~

~~(I) The internal audit department shall review the requirements in paragraph (C) of this rule at least monthly.~~

~~(J) Each casino operator shall submit procedures for auditing complimentary transactions, which shall include:~~

~~(1) Control of inventory of pre-numbered forms;~~

~~(2) Accounting for all copies of multiple part forms issued;~~

~~(3) Signatures of individuals authorizing complimentaries are compared to signature cards on a test basis; and~~

~~(4) The establishment of sufficient categories.~~