

OHIO CASINO CONTROL COMMISSION



INSTITUTIONAL INVESTOR CERTIFICATION FORM

I certify under penalty of law that:

1. I am authorized to sign this certification on behalf of _____ and to
(Entity Name)
bind the entity to the terms of this certification.
2. This entity is an institutional investor, as defined by R.C. 3772.01. Specifically, the entity is a(n) _____.
(Institutional Investor Category)
3. This entity owns, holds, or controls securities issued by _____ in the ordinary course
(Issuer Name)
of business for investment purposes only.
4. This entity does not exercise influence over the affairs of the issuer of such securities nor over any licensed subsidiary of the issuer of such securities.
5. This entity does not intend to exercise influence over the affairs of the issuer of such securities nor over any licensed subsidiary of the issuer of such securities in the future.
6. This entity will notify the Ohio Casino Control Commission (“Commission”) in writing within ten calendar days of any information the entity is required to update in accordance with Ohio Adm.Code 3772-3-03, including if the entity’s intent to not exercise influence over the affairs of the issuer of such securities changes.
7. This entity will not issue or grant a voting proxy to any third party for the voting of the entity’s securities, without prior written approval of the Commission’s Executive Director.
8. This entity is bound by and must comply with R.C. Chapter 3772 and the rules adopted thereunder.

9. The information contained herein is true and correct and is free from any misrepresentation, falsification, or omission.
10. Any misrepresentation, false or misleading statement, or omission is cause for rescission of the presumption of suitability or qualification.

Signature of Authorized Representative

Date

Printed Name of Signatory

Title of Signatory

Contact Information for Authorized Representative

Phone number: _____

Email address: _____