

OHIO CASINO CONTROL COMMISSION  
MEETING MINUTES  
10:00 A.M. – September 18, 2013  
RHODES TOWER, LOBBY HEARING ROOM  
30 W. BROAD STREET  
COLUMBUS, OHIO 43215

The regular meeting of the Ohio Casino Control Commission was called to order at 10:07 a.m. by Chair Jo Ann Davidson. Commissioners McKinley Brown, Martin Hoke, Will Lucas, Ranjan Manoranjan, John Steinhauer, and June Taylor were also in attendance. The minutes of the August 21, 2013 meeting were approved following a motion by Commissioner Taylor, seconded by Commissioner Manoranjan.

Executive Director Schuler began his report to the Commission by noting the upcoming Problem Gambling Training Series being presented by the Ohio Department of Mental Health and Addiction Services (OhioMHAS), the agency responsible for providing problem gambling prevention, treatment and recovery services on behalf of the OCCC. Director Schuler then introduced Tracey Plouck, Director of OhioMHAS to provide a review of their FY 2013 prevention and treatment services and plans for problem gambling services in FY 2014-2015. Director Plouck highlighted the work of existing treatment centers, workforce trainings that have been conducted, and a statewide problem gambling conference. She reported that over \$1.4 million was distributed in FY 2013 primarily to county boards for prevention and treatment. For FY 2014-2015, much additional training is planned and problem gambling publicity campaigns will begin. Ms. Plouck also highlighted several community prevention programs and the ongoing development of additional evaluation research and data.

Executive Director Schuler continued his report to the Commission noting that Ohio's first World Series of Poker event is set for September 19<sup>th</sup> at the Horseshoe Casino Cincinnati. OCCC staff had completed the necessary background work for the event, including a review of security and the licensing of poker dealers brought to Ohio for the event.

Mr. Schuler told the Commission that planned business ventures involving Ohio gaming related vendor license holders, will result in additional investigation and licensing work over the next two months. Scientific Games Corporation's purchase of WMS Gaming will require a new vendor's license, as will Bally Gaming's purchase of Shuffle Master.

In reviewing the work of the Division of Enforcement, Executive Director Schuler explained that the Commission's access to the statewide Law Enforcement Data network requires oversight and auditing and the central office and all four casino offices received approval after the recent audit. Mr. Schuler introduced the four OCCC Gaming Agent Supervisors who were present and said that the Commission is at the point of having all of the gaming agents be Commission staff.

A review of OCCC revenue and expenditures showed revenue ahead of projections in three areas and expenditures below estimates.

Anna Marin Russell, OCCC Financial and Tax Investigation Counsel, reviewed the next item on the agenda, a proposal by Rock Ohio Caesars, LLC, to amend its existing FF&E Loan Agreement. In satisfaction of its duty to update the Commission, documents and information pertaining to the proposed changes were submitted. OCCC Staff reviewed the proposed changes, concluded that they are reasonable, and recommended approval of the changes contained in the Amended and Restated FF&E Loan Agreement. A motion to approve the loan modification was made by Commissioner Steinhauer. The motion was seconded by Commissioner Taylor and approved.

Patrick Martin, OCCC Director of Regulatory Compliance, presented to the Commission a request from Rock Ohio Caesars, LLC for approval of Deloitte & Touche LLP as the independent auditing firm that will perform the required annual audit at both ROC properties in Ohio. Commissioner Brown made a motion to approve Commission Resolution 2013-24, approving the request. The motion was seconded by Commissioner Lucas and approved.

OCCC Deputy Executive Director and General Counsel, John Barron, explained the next agenda item, consideration of the designation of the gaming floor at the Horseshoe Casino Cincinnati. A change in Ohio law has tasked the OCCC with designating the casino gaming area or floor in a casino where underage individuals will be present and requires an escort for underage guests when they are in or passing through the gaming area. OCCC recommended a specific definition of the gaming floor to confine the area in which escorting would be required. A motion was made by Commissioner Steinhauer to approve the proposed designation, seconded by Commissioner Brown, and approved.

Tony Forchione, OCCC Director of Licensing and Investigation, presented to the Commission for consideration, the vendor license application of GLP Capital, LP. GLP Capital was created as part of the corporate restructuring of Penn National Gaming, Inc. and is the lessor of the Penn National casino real estate assets in Ohio. Several conditions are included in the license as well as approval for Key Employee licenses for two individuals who will be officers or directors of GLP Capital and were not already licensed as Key Employees in Ohio. Commissioner Manoranjan made a motion to approve Commission Resolution 2013-22, granting a three-year gaming-related vendor license to GLP Capital, LP, and three-year key employee licenses to Joseph Marshall and Evan Urdang. The motion was seconded by Commissioner Lucas and approved.

Mr. Forchione then presented for consideration by the Commission, the application of two individuals for key employee licenses. OCCC Division of Licensing and Investigation had reviewed the applications and recommended their approval. Commissioner Taylor made a motion to approve Resolution 2013-23, granting three-year key employee licenses to Ronald Naples and Eric Poulton. The motion was seconded by Commissioner Brown and approved.

Patrick Martin, Director of Regulatory Compliance, presented amendments to internal controls being requested by two casinos:

1. Horseshoe Casino Cincinnati requested approval of a 30-day retention schedule for poker cards.
2. Horseshoe Casino Cleveland requested changes in its accounting plan similar to those previously made to Cincinnati's plan; the addition of some poker betting definitions; and clarification in its Sensitive Keys plan.

Mr. Martin reported that OCCC staff had reviewed and vetted the proposed changes and recommended their approval. Commissioner Steinhauer made a motion to approve the changes as proposed. The motion was seconded by Commissioner Hoke and approved.

John Barron, OCCC Deputy Executive Director and General Counsel, reviewed two final orders before the Commission, one for a gaming employee license applicant and the other for a gaming employee license holder. The individuals had not requested a hearing.

In re: Brittani Gillon, (Case # 2013-052), Chair Davidson made a motion to deny the casino gaming employee license application. The motion was seconded by Commissioner Taylor and approved.

In re: Dawn Jenkinson, (Case # 2013-043), Chair Davidson made a motion to revoke the existing casino gaming employee license. The motion was seconded by Commissioner Hoke and approved.

Executive Director Schuler introduced Karen Huey, OCCC Director of Enforcement who made a presentation to David Posten, Gaming Agent Supervisor in the OCCC Cleveland office, who is returning to a position with the Office of the Attorney General's Bureau of Criminal Investigation.

There being no further business before the Commission, the meeting was adjourned at 11:20 a.m.

Signed,

Katherine L. Kelly

Commission Clerk

Approved October 16, 2013