

OHIO CASINO CONTROL COMMISSION  
MEETING MINUTES  
10:00 A.M. – November 18, 2015  
RHODES TOWER, LOBBY HEARING ROOM  
30 W. BROAD STREET  
COLUMBUS, OHIO 43215

The regular meeting of the Ohio Casino Control Commission (OCCC) was called to order at 10:06 a.m. by Chair Jo Ann Davidson. Commissioners McKinley Brown, Martin Hoke, Will Lucas, John Steinhauer, and June Taylor were also in attendance. Commissioner Manoranjan had an excused absence. The minutes of the October 21, 2015 meeting were approved following a motion by Commissioner Taylor, seconded by Commissioner Lucas.

Executive Director Schuler began his report with a quarterly review of agency revenues and expenditures. Mr. Schuler reported that although casino revenue was lower than estimated, the Commission remained on solid financial footing. In response to Commissioner Steinhauer's question at the last meeting regarding whether Traditional Fantasy Sports (TFS) and Daily Fantasy Sports (DFS) calls were tracked by the Problem Gambling Helpline (Helpline), Mr. Schuler reported these types of calls were not specifically tracked by the Helpline. As of mid-October, the Helpline will now distinguish and track all calls specifically related to TFS and DFS.

Next, Mr. Schuler stated Rock Gaming (Rock) had announced its intent to take over the daily operations of the two Horseshoe properties in Ohio, as well as the Thistledown Racino, by the middle of next year. This transition will be similar to opening new casinos all over again. Rock internal controls will need to be finalized, as well as decisions on critical systems such as accounting, slot systems, surveillance and document management. Additionally, should Rock choose to rebrand, Mr. Schuler indicated this will require new design and approval of chips, cards, dice, and table felts. The Commission will be working closely with Rock on the transition. Mr. Schuler reported Rock representatives would be present at the next meeting to provide an update on the transition, and its financial status and organization.

Aleah Page, Manager of Vendor & Operator Licensing, then presented to the Commission for consideration, Key Employee renewal applications for two individuals. The OCCC Division of Licensing and Investigations had completed background investigations of the applicants and recommended approval. Ms. Page recommended approval of Commission Resolution 2015-38, granting three-year key employee licenses to Leslie Cook, VP of Finance, Horseshoe Cleveland, and Chris Riley, VP of Finance, Hollywood Columbus. A motion to approve the Resolution was made by Commissioner Hoke. The motion was seconded by Commissioner Lucas and approved.

Ms. Page then presented to the Commission for consideration, Ainsworth Game Technology Key Employee renewal applications for six individuals. The OCCC Division of Licensing and Investigations had completed background investigations of the applicants and recommended approval. Ms. Page recommended approval of Commission Resolution 2015-39, granting three-year key employee renewal licenses to Margarete Ainsworth, Shareholder, Graeme Campbell, Lead Independent Non-Executive Director, Daniel Gladstone, CEO and Executive Director, John Glaser, Director of Sales North America, Mark Ludski, Chief Financial Officer/Secretary, and Michael Yates, Independent Non-Executive Director. A motion to approve the Resolution was made by Commissioner Lucas. The motion was seconded by Commissioner Brown and approved.

Anna Marin Russell, OCCC Financial and Tax Investigation Counsel, then presented to the Commission for consideration, the provisional key employee application for Gabriel Santacreu. Mr. Santacreu was hired by Horseshoe Casino Cleveland (UCL) to fill the required position of Regional Market Technology Director, recently vacated by another employee who is transitioning into a new role. The OCCC Division of Licensing and Investigations had completed a background investigation of Mr. Santacreu and recommended approval. Ms. Russell recommended approval of Commission Resolution 2015-40, granting a provisional key employee license to Mr. Santacreu. A motion to approve the Resolution was made by Commissioner Brown. The motion was seconded by Commissioner Lucas and approved.

Patrick Martin, OCCC Director of Regulatory Compliance, presented to the Commission for their consideration, the approval of Ernst & Young LLP (Ernst) as Penn National Gaming, Inc.'s (Penn's) independent registered certified public accounting firm for the purposes of preparing the required annual audited financial statement for a three-year period. Commissioner Taylor made a motion to adopt Commission Resolution 2015-41, approving Ernst as the accounting firm for Penn. The motion was seconded by Commissioner Lucas and approved.

Mr. Martin presented to the Commission for their consideration, amendments to Internal Controls being requested by all of the casinos. All the proposed changes had been reviewed and recommended by the OCCC staff.

- Hollywood Casino Columbus (HCO) sought changes to its Accounting, Players Services, Information Technology, Marketing, Slot Operations, Table Games, Anti-Money Laundering (AML)/Bank Secrecy Act (BSA), and Tips and Gratuities Plans. Importantly, HCO'S changes respond to recent administrative code changes, including additional detail pertaining to the extension of credit markers, authority levels for distributing promotional play, and procedures for exchanging inadvertently obtained chips from other casinos. Commissioner Hoke made a motion to approve the proposed changes. The motion was seconded by Commissioner Taylor and approved.
- Hollywood Casino Toledo (HCT) sought changes to its Accounting, Marketing, Player Services, Slots, Surveillance, and Table Games plans. HCT mirrors the updates required by the administrative code changes that HCO is making, and is jointly creating a Regional Director of Purchasing with HCO. Lastly, HCT sought changes in response to audit findings, including express controls on drop team activities, and clarification that the Main Bank cannot be used for employees' personal transactions. Commissioner Lucas made a motion to approve the proposed changes. The motion was seconded by Commissioner Taylor and approved.
- Horseshoe Casino Cincinnati (UCI) requested changes to its Table Games Plan. Specifically, UCI added a "Bet the Set" sidebet to its blackjack rules, which is nearly identical to its sister property's existing sidebet. Commissioner Taylor made a motion to approve the proposed changes. The motion was seconded by Commissioner Brown and approved.
- UCL sought and received an immediate change to its Cage Plan's drop schedule to account for a small bank of slots in the Diamond Lounge. Additionally, UCL sought to add the "Kings Bounty" sidebet to Blackjack, clarifications to Midi Baccarat, Pai Gow Poker, and DJ Wild Stud Poker, and a required change to Tips and Gratuities in response to recent administrative code changes.

Commissioner Taylor made a motion to approve the proposed changes, including immediate changes. The motion was seconded by Commissioner Hoke and approved.

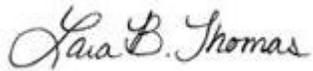
John Barron, Deputy Executive Director and & General Counsel, then presented one final order to the Commission for consideration:

In re: Sarah Kern (Case #2015-LIC-010), a hearing was not requested. Chair Davidson made a motion to revoke the CGE License for Sarah Kern. The motion was seconded by Commissioner Hoke and approved.

The Commission acknowledged Director of Licensing Tony Forchione, who will be retiring for his service to the Commission. Mr. Schuler ended his report, announcing personnel changes due to Mr. Forchione's departure, including naming Matt Oyster as the next Director of Licensing and Investigations/Senior Legal Counsel. Additionally, Aleah Page was promoted to Manager of Licensing and Anna Marin Russell was promoted to Manager of Investigations. Also, with Mr. Oyster's change in responsibilities, Michelle Siba has been promoted to Deputy General Counsel.

There being no further business before the Commission, the meeting was adjourned.

Signed,

A handwritten signature in cursive script that reads "Lara B. Thomas".

Lara B. Thomas  
Commission Secretary

Approved: December 16, 2016