

OHIO CASINO CONTROL COMMISSION  
RESOLUTION 2017-06

**CONSIDERATION OF KEY-EMPLOYEE LICENSE APPLICATIONS**

**WHEREAS**, Article XV, Section 6(C)(4) of the Ohio Constitution created the Ohio Casino Control Commission to ensure the integrity of casino gaming by, among other things, licensing and regulating all gaming authorized by Article XV, Section 6(C) of the Ohio Constitution;

**WHEREAS**, R.C. 3772.09(A) prohibits any key employee from conducting or participating in conducting casino gaming without first being licensed by the Commission;

**WHEREAS**, R.C. 3772.10(B) requires key employees seeking licensure under R.C. Chapter 3772 to establish their suitability by clear and convincing evidence;

**WHEREAS**, R.C. 3772.13(C) and (E) and Ohio Adm. Code 3772-5-02(A) require any key employee seeking licensure to submit a Key Employee License Application (“Application”);

**WHEREAS**, R.C. 3772.13 and Ohio Adm. Code Chapter 3772-5 authorize the Commission to issue and renew key-employee licenses after the Commission determines that the applicants are eligible for licensure and have paid all applicable fees;

**WHEREAS**, a key-employee license applicant is eligible for licensure upon meeting the following criteria:

- (A) Being at least 21 years of age, as required by R.C. 3772.13(D);
- (B) Submission of a true and complete Application, as required by R.C. 3772.10(C) and 3772.13(E) and Ohio Adm. Code 3772-5-02(A);
- (C) Submission, on a form provided by the Commission, of two sets of the applicant’s fingerprints and a photograph, as required by R.C. 3772.07 and 3772.13(F);
- (D) Payment of the nonrefundable application fee of \$2,000.00, as required by R.C. 3772.13(F) and 3772.17(E) and Ohio Adm. Code 3772-5-03(A), and all fees necessary to cover the cost of the background investigation in excess of the application fee set forth by Ohio Adm. Code 3772-5-03(A), if any, as permitted by Ohio Adm. Code 3772-5-03(B);
- (E) Reimbursement of the costs for the background check, including the criminal-records check, as required by R.C. 3772.07 and 3772.13(F);
- (F) Not having been convicted of or pled guilty or no contest to a disqualifying offense, as defined and required by R.C. 3772.07 and R.C. 3772.10(C); and

(G) Otherwise is suitable for licensure, as required by R.C. 3772.10(B) and (C);

**WHEREAS**, R.C. 3772.15(B) and Ohio Adm. Code 3772-5-02(D) require that key-employee licensees undergo a complete investigation at least once every three years to ensure continued eligibility for licensure;

**WHEREAS**, the 5 individuals—1 initial and 4 renewals—listed on the attached Exhibit A (“Key Employees”) submitted their Applications to the Commission in accordance with R.C. 3772.13(C) and (E) and 3772.15(B) and Ohio Adm. Code 3772-5-02(A) and (C), as applicable;

**WHEREAS**, the Commission’s Division of Licensing and Investigations (“Division”) reviewed the Applications and other materials and information and conducted thorough suitability investigations of the Key Employees;

**WHEREAS**, the Division has prepared a report to the members of the Commission dated March 8, 2017, captioned *Investigative Report of Key-Employee License Applications* (“Report”);

**WHEREAS**, the Report concludes that the Division’s investigations did not uncover any material derogatory information that adversely impacts the suitability of the Key Employees and that the Key Employees are eligible for licensure;

**WHEREAS**, based on this conclusion, the Report recommends that the Commission grant and renew the key-employee licenses, as applicable; and

**WHEREAS**, the Commission has reviewed the Report and considered the matter at its public meeting held on March 15, 2017.

**NOW, THEREFORE, BE IT RESOLVED**, by the Commission that the 1 initial Application is **APPROVED** and the Key Employee is **LICENSED** for a period not to exceed 3 years, subject to continued compliance with R.C. Chapter 3772 and the rules adopted thereunder, including payment of the following fees:

- (A) The cost of any key-employee background investigation that exceeded the Application fee set forth by Ohio Adm. Code 3772-5-03(A), as required by R.C. 3772.17(E) and Ohio Adm. Code 3772-5-03(B); and
- (B) A nonrefundable license fee of \$500.00 per key-employee license, as required by R.C. 3772.17(E) and Ohio Adm. Code 3772-5-03(C).

**BE IT FURTHER RESOLVED**, that the 4 renewal Applications are **APPROVED** and the Key Employees’ licenses are **RENEWED** for a period not to exceed three years, effective upon expiration of the previous licenses, subject to continued compliance with R.C. Chapter 3772 and the rules adopted thereunder, including payment of the following fees:

- (A) The cost of the key-employee background investigation that exceeded the Application fee set forth by Ohio Adm. Code 3772-5-03(A), as required by R.C. 3772.17(E) and Ohio Adm. Code 3772-5-03(B); and

- (B) A nonrefundable license fee of \$500.00, as required by R.C. 3772.17(E) and Ohio Adm. Code 3772-5-03(C).

**BE IT FURTHER RESOLVED**, that Resolution 2017-06 does not in any way affect, negate, or otherwise absolve the Key Employees of their duties to update information in accordance with Ohio Adm. Code 3772-5-04.

**BE IT FURTHER RESOLVED**, that Resolution 2017-06 does not restrict or limit the Commission's future exercise of authority and discretion with respect to imposing additional conditions or taking further action upon the Key Employees under R.C. Chapter 3772 and the rules adopted thereunder.

Adopted: March 15, 2017

**Exhibit A**

**RESOLUTION 2017-06**

**Initial Key-Employee License**

**Hollywood Casino Columbus**

Lynne Mackin

**Renewal Key-Employee Licenses**

**Aristocrat Technologies, Inc.**

Constance James

**Gaming and Leisure Properties, Inc.**

Brandon Moore

**Hollywood Casino Columbus**

Himbert Sinopoli

**Penn National Gaming, Inc.**

Carl Sottosanti