

OHIO CASINO CONTROL COMMISSION

RESOLUTION 2019-14

**CONSIDERATION OF THE UNITED STATES PLAYING CARD COMPANY'S  
APPLICATION TO RENEW ITS GAMING-RELATED VENDOR LICENSE**

**WHEREAS**, Article XV, Section 6(C)(4) of the Ohio Constitution created the Ohio Casino Control Commission ("Commission") to ensure the integrity of casino gaming by, among other things, licensing and regulating all gaming authorized by Article XV, Section 6(C) of the Ohio Constitution;

**WHEREAS**, R.C. 3772.09(A) prohibits any gaming-related vendor and key employee from conducting or participating in conducting casino gaming without first being licensed by the Commission;

**WHEREAS**, R.C. 3772.10(B) requires gaming-related vendors and key employees seeking licensure under R.C. Chapter 3772 to establish their suitability by clear and convincing evidence;

**WHEREAS**, R.C. 3772.15(B) and Ohio Adm.Code 3772-6-02(B)-(D) and 3772-5-02(B)-(D) require that gaming-related vendor and key-employee licensees, respectively, undergo complete investigations at least once every 3 years to ensure continued eligibility for licensure;

**WHEREAS**, R.C. 3772.12(A) and Ohio Adm.Code 3772-6-02(A) require any gaming-related vendor seeking licensure, including a license-renewal applicant, to submit, under oath, a Gaming-Related Vendor License Application ("Vendor Application");

**WHEREAS**, R.C. 3772.121 and Ohio Adm.Code Chapter 3772-6 authorize the Commission to issue a gaming-related vendor license to an applicant, including a renewal applicant, after the Commission determines that the applicant is eligible for licensure and the applicant has paid all applicable fees;

**WHEREAS**, a gaming-related vendor license-renewal applicant is eligible for renewal upon meeting the following criteria:

- (A) Submission of a true and complete Vendor Application, as required by R.C. 3772.10(C) and 3772.12(A) and Ohio Adm.Code 3772-6-02(A);
- (B) Payment of the nonrefundable application fee of \$10,000, as required by R.C. 3772.17(D) and Ohio Adm.Code 3772-6-03(A), and all fees necessary to cover the costs of the background investigation in excess of the application fee set forth by Ohio Adm.Code 3772-6-03(A), if any, as permitted by Ohio Adm.Code 3772-6-03(B);
- (C) Submission, on a form provided by the Commission, of two sets of the applicant's fingerprints, as required by R.C. 3772.121(A)(3), if applicable;

- (D) Reimbursement of the costs for the criminal-records check, as required by R.C. 3772.07 and 3772.17(D), if applicable;
- (E) Not having been convicted of or pled guilty or no contest to a disqualifying offense, as defined by R.C. 3772.07 and required by R.C. 3772.07 and 3772.10(C); and
- (F) Otherwise is suitable for licensure, as required by R.C. 3772.10(B) and (C);

**WHEREAS**, R.C. 3772.03(D)(5) mandates that the Commission adopt rules prescribing the minimum amount of insurance that must be maintained by gaming-related vendors;

**WHEREAS**, Ohio Adm.Code 3772-7-01(A) requires gaming-related vendor licensees to obtain and maintain the following types of insurance in minimum amounts determined by the Commission:

- (A) Liability;
- (B) Casualty;
- (C) Property;
- (D) Crime;
- (E) Worker’s compensation; and
- (F) Any other type of insurance the Commission deems necessary to ensure the licensee is adequately insured;

**WHEREAS**, on December 20, 2018, The United States Playing Card Company (“USPC”) submitted a Vendor Application in accordance with R.C. 3772.12(A) and Ohio Adm.Code 3772-6-02(A);

**WHEREAS**, USPC paid the nonrefundable application fee, as required by R.C. 3772.17(D) and Ohio Adm.Code 3772-6-03(A);

**WHEREAS**, USPC submitted information and materials necessary to demonstrate that it has obtained and maintains all of the requisite types of insurance and the amounts of each type, as required by R.C. 3772.03(D)(5) and Ohio Adm.Code 3772-7-01(A) and as reflected in the Commission’s adoption of Resolution 2016-11;

**WHEREAS**, Newell Brands Inc. (“Holding Company”) submitted information and documentation as the holding company of USPC;

**WHEREAS**, R.C. 3772.13(C) and (E) and Ohio Adm.Code 3772-5-02(A) require any key employee seeking licensure, including a license-renewal applicant, to submit a Key Employee License Application (“Key Application”);

**WHEREAS**, R.C. 3772.13 and Ohio Adm.Code Chapter 3772-5 authorize the Commission to issue and renew key-employee licenses after the Commission determines that the applicants are eligible for licensure and have paid all applicable fees;

**WHEREAS**, a key-employee license applicant, including a license-renewal applicant, is eligible for licensure upon meeting the following criteria:

- (A) Be at least 21 years of age, as required by R.C. 3772.13(D);
- (B) Submit a true and complete Key Application, as required by R.C. 3772.10(C) and 3772.13(E) and Ohio Adm.Code 3772-5-02(A);
- (C) Submission, on a form provided by the Commission, of two sets of the applicant's fingerprints and a photograph, as required by R.C. 3772.13(F);
- (D) Payment of the nonrefundable application fee of \$2,000, as required by R.C. 3772.13(F) and 3772.17(E) and Ohio Adm.Code 3772-5-03(A), and all fees necessary to cover the cost of the background investigation in excess of the application fee set forth by Ohio Adm.Code 3772-5-03(A), if any, as permitted by Ohio Adm.Code 3772-5-03(B);
- (E) Reimbursement of the costs for the background check, including the criminal-records check, as required by R.C. 3772.07 and 3772.13(F);
- (F) Not having been convicted of or pled guilty or no contest to a disqualifying offense, as defined by R.C. 3772.07 and required by R.C. 3772.07 and 3772.10(C); and
- (G) Otherwise is suitable for licensure, as required by R.C. 3772.10(B) and (C);

**WHEREAS**, the owners, officers, directors, and key employees of USPC and its Holding Company who appear on the attached list marked Exhibit A ("Key Employees") submitted Key Applications to the Commission in accordance with R.C. 3772.13 and Ohio Adm.Code 3772-5-02;

**WHEREAS**, each Key Employee's nonrefundable application fee has been paid, as required by R.C. 3772.13(F) and 3772.17(E) and Ohio Adm.Code 3772-5-03(A);

**WHEREAS**, the Commission's Division of Licensing and Investigations ("Division") reviewed the Vendor and Key Applications and other materials and information and conducted thorough suitability investigations of USPC, the Holding Company, and the Key Employees;

**WHEREAS**, the Division has filed a report, dated May 8, 2019, captioned *Investigative Report on the Renewal Application of The United States Playing Card Company for a Gaming-Related Vendor License* ("Report");

**WHEREAS**, the Report concludes that based upon the investigation, no material derogatory information that adversely impacts the suitability of USPC, its Holding Company, or the Key Employees was uncovered and that USPC and the Key Employees are eligible for licensure;

**WHEREAS**, the Division recommends that the Commission renew USPC's gaming-related vendor license, subject to the conditions specified in the Report, as well as grant and renew the Key Employees' licenses, as applicable; and

**WHEREAS**, the Commission has reviewed the Report and considered the matter at its public meeting on May 15, 2019.

**NOW, THEREFORE, BE IT RESOLVED** by the Commission that USPC and the Key Employees are **SUITABLE** and **ELIGIBLE** for licensure.

**BE IT FURTHER RESOLVED** that USPC's Vendor Application is **APPROVED** and its gaming-related vendor license is **RENEWED** for a period not to exceed 3 years, effective upon expiration of the previous license, subject to continued compliance with R.C. Chapter 3772 and the rules adopted thereunder and the following conditions:

- (A) USPC and its Holding Company shall report to the Commission any changes in management, ownership, stockholdings, or to a voting or proxy agreement, as applicable, in accordance with Ohio Adm.Code 3772-6-04;
- (B) USPC and its Holding Company shall report to the Commission any loans, debt incurred, or any other significant financial matters, in accordance with Ohio Adm.Code 3772-6-04;
- (C) USPC shall pay all fees required by the Commission, including the following:
  - (1) The cost of the background investigation that exceeded the application fee set forth by Ohio Adm.Code 3772-6-03(A), as required by R.C. 3772.17(D) and permitted by Ohio Adm.Code 3772-6-03(B), if any; and
  - (2) A nonrefundable license fee of \$15,000, as required by R.C. 3772.121(A)(2) and 3772.17(D) and Ohio Adm.Code 3772-6-03(C); and
- (D) USPC's Compliance Committee shall notify the Commission's Executive Director no later than 30 days from the date in which the Committee conducts any due diligence efforts, takes any action, or makes any recommendations concerning current or future business.

**BE IT FURTHER RESOLVED** that the initial Key Application is **APPROVED** and the Key Employee is **LICENSED** for a period not to exceed 3 years, subject to continued

compliance with R.C. Chapter 3772 and the rules adopted thereunder, including payment of the following fees:

- (A) The cost of the background investigation that exceeded the application fee set forth by Ohio Adm.Code 3772-5-03(A), as required by R.C. 3772.17(E) and Ohio Adm.Code 3772-5-03(B); and
- (B) A nonrefundable license fee of \$500, as required by R.C. 3772.17(E) and Ohio Adm.Code 3772-5-03(C).

**BE IT FURTHER RESOLVED** that the renewal Key Application is **APPROVED** and the key-employee license is **RENEWED** for a period not to exceed 3 years, effective upon expiration of the previous license, subject to continued compliance with R.C. Chapter 3772 and the rules adopted thereunder, including payment of the following fees:

- (A) The cost of the background investigation that exceeded the application fee set forth by Ohio Adm.Code 3772-5-03(A), as required by R.C. 3772.15(A) and 3772.17(E) and permitted by Ohio Adm.Code 3772-5-03(B); and
- (B) A nonrefundable license fee of \$500, as required by R.C. 3772.17(E) and Ohio Adm.Code 3772-5-03(C).

**BE IT FURTHER RESOLVED** that Resolution 2019-14 does not in any way affect, negate, or otherwise absolve USPC, its Holding Company, or the Key Employees from their duties to update information in accordance with Ohio Adm.Code 3772-6-04 and 3772-5-04, as applicable.

**BE IT FURTHER RESOLVED** that Resolution 2019-14 does not restrict or limit the Commission's future exercise of authority and discretion with respect to imposing additional conditions or taking further action upon USPC, its Holding Company, or the Key Employees under R.C. Chapter 3772 and the rules adopted thereunder.

Adopted: May 15, 2019

**Exhibit A**  
**Resolution 2019-14**

**Initial Key-Employee License**

Christopher Peterson

**Renewal Key-Employee License**

Bradford Turner