

OHIO CASINO CONTROL COMMISSION

RESOLUTION 2020-16

CONSIDERATION OF KEY-EMPLOYEE LICENSE APPLICATIONS

WHEREAS, Article XV, Section 6(C)(4) of the Ohio Constitution created the Ohio Casino Control Commission (“Commission”) to ensure the integrity of casino gaming by, among other things, licensing and regulating all gaming authorized by Article XV, Section 6(C) of the Ohio Constitution;

WHEREAS, R.C. 3772.09(A) prohibits a key employee from conducting or participating in conducting casino gaming without being licensed by the Commission, except as provided for in R.C. 3772.13(B);

WHEREAS, R.C. 3772.13(C) and (E) and Ohio Adm.Code 3772-5-02(A) require a key employee seeking to obtain or maintain licensure to submit a Key Employee License Application (“Application”);

WHEREAS, R.C. 3772.13 and Ohio Adm.Code Chapter 3772-5 authorize the Commission to issue or renew a key-employee license after the agency determines that an applicant is or remains eligible for licensure and has paid all applicable fees;

WHEREAS, R.C. 3772.15(B) and Ohio Adm.Code 3772-5-02(B)-(D) require that each key-employee licensee undergo a complete investigation at least once every three years to ensure continued eligibility for licensure;

WHEREAS, R.C. 3772.10(B) requires a key employee seeking to obtain or maintain licensure under R.C. Chapter 3772 to establish the employee’s suitability by clear and convincing evidence;

WHEREAS, a key employee is eligible for licensure upon meeting the following criteria:

- (A) Being at least 21 years of age, as required by R.C. 3772.13(D);
- (B) Submission of a true and complete Application, as required by R.C. 3772.10(C) and 3772.13(E) and Ohio Adm.Code 3772-5-02(A);
- (C) Submission, on a form provided by the Commission, of two sets of fingerprints and a photograph, as required by R.C. 3772.07 and 3772.13(F);
- (D) Payment of the nonrefundable application fee of \$2,000, as required by R.C. 3772.13(F) and 3772.17(E) and Ohio Adm.Code 3772-5-03(A), and all fees necessary to cover the cost of the background investigation in excess of the application fee set forth by Ohio Adm.Code 3772-5-03(A), if any, as permitted by Ohio Adm.Code 3772-5-03(B);
- (E) Reimbursement of the costs for the background check, including the criminal-records check, as required by R.C. 3772.07 and 3772.13(F);

- (F) Not having been convicted of or pled guilty or no contest to a disqualifying offense, as defined and required by R.C. 3772.07 and R.C. 3772.10(C); and
- (G) Otherwise is suitable for licensure, as required by R.C. 3772.10(B) and (C);

WHEREAS, the 34 individuals listed on the attached Exhibit A (“Key Employees”) submitted their Applications to the Commission in accordance with R.C. 3772.13 and Ohio Adm.Code 3772-5-02;

WHEREAS, the Commission’s Division of Licensing and Investigations (“Division”) reviewed the Applications and other materials and information and conducted thorough suitability investigations of the Key Employees;

WHEREAS, the Division has prepared three reports for the members of the Commission, which are captioned *Investigative Report of Key-Employee License Applications* and dated April 8, May 13, and June 10, 2020 (“Reports”);

WHEREAS, the Reports conclude that the Division’s investigations did not uncover any material derogatory information that adversely impacts the Key Employees’ suitability and that they are eligible for licensure;

WHEREAS, the Reports recommend that the Commission grant and renew the key-employee licenses, as applicable; and

WHEREAS, the Commission has reviewed the Reports and considered the matters at its public meeting held on June 17, 2020.

NOW, THEREFORE, BE IT RESOLVED by the Commission that the Key Employees are **SUITABLE** and **ELIGIBLE** for licensure.

BE IT FURTHER RESOLVED that the initial and new Applications are **APPROVED** and the Key Employees are **LICENSED** for a period not to exceed three years, subject to continued compliance with R.C. Chapter 3772 and the rules adopted thereunder, including payment of the following fees:

- (A) The cost of any background investigation that exceeded the application fee set forth by Ohio Adm.Code 3772-5-03(A), as required by R.C. 3772.17(E) and Ohio Adm.Code 3772-5-03(B); and
- (B) A nonrefundable license fee of \$500 per key-employee license, as required by R.C. 3772.17(E) and Ohio Adm.Code 3772-5-03(C).

BE IT FURTHER RESOLVED that the renewal Applications are **APPROVED** and the Key Employees’ licenses are **RENEWED** for a period not to exceed three years, effective upon expiration of their previous licenses, subject to continued compliance with R.C. Chapter 3772 and the rules adopted thereunder, including payment of the following fees:

- (A) The cost of any background investigation that exceeded the application fee set forth by Ohio Adm.Code 3772-5-03(A), as required by R.C. 3772.15(A) and 3772.17(E) and Ohio Adm.Code 3772-5-03(B); and

- (B) A nonrefundable license fee of \$500 per key-employee license, as required by R.C. 3772.17(E) and Ohio Adm.Code 3772-5-03(C).

BE IT FURTHER RESOLVED that Resolution 2020-16 does not in any way affect, negate, or otherwise absolve the Key Employees of their duties to update information in accordance with Ohio Adm.Code 3772-5-04.

BE IT FURTHER RESOLVED that Resolution 2020-16 does not restrict or limit the Commission's future exercise of authority and discretion with respect to imposing additional conditions or taking further action upon the Key Employees under R.C. Chapter 3772 and the rules adopted thereunder.

Adopted: June 17, 2020

Exhibit A

RESOLUTION 2020-16

Initial Key-Employee Licenses

Pierre Bosseloo – Cartamundi Group

Lisa Burton – Hollywood Casino Toledo

Emile de Cartier de Marchienne – Cartamundi Group

Jean-Louis de Cartier de Marchienne – Cartamundi Group

Martin de Cartier de Marchienne – Cartamundi Group

Raoul de Cartier de Marchienne – Cartamundi Group

Paul De Jongh – Cartamundi Group

Christine Deudon – Cartamundi Group

Monica Douglas – VICI Properties, Inc.

Philippe Etienne – Aristocrat Leisure Limited

Todd George – Penn National Gaming, Inc.

Hiroshi Ikeda – Gaming Partners International USA, Inc.

Istvan Lagaert – Cartamundi Group

Elisabeth Laloux – Cartamundi Group

Carol Lynton – Gaming and Leisure Properties, Inc.

Lee Martin – Gaming Partners International USA, Inc.

Stefaan Merckx – Cartamundi Group

Paul Savas – MacAndrews & Forbes Incorporated

Dimitri de Somer – Cartamundi Group

Frédéric de Somer – Cartamundi Group

Jean de Somer – Cartamundi Group

Jean Marie de Somer – Cartamundi Group

John de Somer – Cartamundi Group

Philippe de Somer – Cartamundi Group

Christopher Soriano – Penn National Gaming, Inc.

Craig Toner – Aristocrat Technologies Australia Pty Ltd.

Philip Wauters – Cartamundi Group

David Williams – Penn National Gaming, Inc.

New Key-Employee Licenses

Atul Bali – Everi Holdings, Inc.

Mitchell Bowen – Aristocrat Technologies Australia Pty Ltd.

Deron Hunsberger – Ainsworth Game Technology, Inc.

Renewal Key-Employee Licenses

Kathleen Conlon – Aristocrat Leisure Limited

Miguel Cuadros – Ainsworth Game Technology, Inc.

Michael Waldron – Hollywood Casino Columbus